



Maryland Transportation Authority

BOARD MEETING

THURSDAY, SEPTEMBER 28, 2023

MARYLAND TRANSPORTATION AUTHORITY
2310 BROENING HIGHWAY
BALTIMORE, MD 21224

IN-PERSON AND LIVESTREAM



MARYLAND TRANSPORTATION AUTHORITY BOARD MEETING

2310 Broening Highway * Training Room – 2nd Floor * Baltimore, MD 21224

SEPTEMBER 28, 2023 9:00 AM

This meeting will be livestreamed on the [MDTA Board Meeting Page](#)

NOTES:

- This is an In-Person Open Meeting being conducted via livestreaming.
- The public is welcomed to watch the meeting at the link above.
- *If you wish to comment on an agenda item please email your name, affiliation, and the agenda item to nhenson@mdta.state.md.us no later than 5:00 p.m. on September 26th. You **MUST** pre-register and attend the meeting in person in order to comment.* Once pre-registered, all pertinent information will be emailed to you.

AGENDA

OPEN SESSION – 9:00 AM

Call Meeting to Order

- | | | |
|--|-----------------------|---------|
| 1. <u>Approval</u> – <u>Open Session Meeting Minutes of August 31, 2023</u> | Chairman | 5 min. |
| 2. <u>Approval</u> – <u>Contract Award</u> | Jeffrey Davis | 10 min. |
| <ul style="list-style-type: none">• MT-00210823 – Sludge Debris Removal from Fort McHenry Tunnel (FMT)• MT-00210859 – Janitorial Services at John F. Kennedy Highway (JFK) and Thomas J. Hatem Bridge (TJH) | | |
| 3. <u>Update</u> – <u>Fiscal Year 2023 Operating Budget Comparison – Review of Actual vs. Projected Fiscal Year 2023 Operating Budget Spending</u> | Jeffrey Brown | 10 min. |
| 4. <u>Update</u> – <u>Fiscal Year 2023 Capital Budget Comparison – Review of Actual vs. Projected Fiscal Year 2023 Capital Budget Spending</u> | Jennifer Stump | 10 min. |
| 5. <u>Update</u> – <u>Quarterly Update on Traffic and Revenue – Update on the Actual Performance of Traffic and Revenue Compared to the Forecast Through June 30, 2023</u> | Deb Sharpless | 10 min. |
| 6. <u>Update</u> – <u>Major Projects</u> – Quarterly Update on Major Projects Valued Over \$40 Million or of Significant Public Impact | James Harkness | 15 min. |
| 7. <u>Update</u> – <u>Information Technology Major Projects</u> – Quarterly Update on Information Technology Major Projects | David Goldsborough | 10 min. |
| 8. <u>Update</u> – <u>Civil Rights and Fair Practice’s Socioeconomic Programs</u> – Quarterly Update on Socioeconomic Programs | Tia Rattini | 10 min. |
| 9. <u>Update</u> – <u>Audit Committee Report</u> – Verbal | Member Penny-Ardinger | 10 min. |
| 10. <u>Update</u> – <u>Executive Director’s Report</u> – Verbal | Joseph Sagal | 10 min. |

Vote to Adjourn Meeting

TAB 1

MARYLAND TRANSPORTATION AUTHORITY
BOARD MEETING

THURSDAY, AUGUST 31, 2023
9:00 A.M.

2310 BROENING HIGHWAY, BALTIMORE MD 21224
IN-PERSON & LIVESTREAMED OPEN MEETING

OPEN SESSION

Paul J. Wiedefeld, Chairman

MEMBERS ATTENDING:

Dontae Carroll
William H. Cox, Jr.
W. Lee Gaines, Jr.
Mario J. Gangemi
Cynthia D. Penny-Ardinger
Jeffrey S. Rosen – Via Conference Call
Samuel D. Snead
John F. von Paris

STAFF ATTENDING:

Col. Kevin Anderson
Percy Dangerfield
Jeffrey Davis
Lynn Fry
Allen Garman
James Harkness
Natalie Henson
Richard Jaramillo
Selena McKissick
Kelly Melhem
Kimberly Millender, Esq.
Ebony Moore
Mary O'Keeffe
Joseph Sagal
Deborah Sharpless
Paul Truntich

At 9:00 a.m. Chairman Paul J. Wiedefeld called the meeting of the Maryland Transportation Authority (MDTA) Board to order. The meeting was held in-person at MDTA Headquarters, 2310 Broening Highway, Baltimore MD 21224 and was livestreamed on the MDTA Board Meeting web page.

APPROVAL – OPEN SESSION MEETING MINUTES OF JULY 27, 2023

Upon motion by Member William H. Cox, Jr. and seconded by Member Dontae Carroll, the open session meeting minutes of the MDTA Board meeting held on July 27, 2023 were unanimously approved.

APPROVAL – CLOSED SESSION MEETING MINUTES OF JULY 27, 2023

Upon motion by Member Mario J. Gangemi and seconded by Member W. Lee Gaines, Jr., the closed session meeting minutes of the MDTA Board meeting held on July 27, 2023 were unanimously approved.

APPROVAL – OPEN SESSION MEETING MINUTES OF AUGUST 9, 2023

Upon motion by Member Dontae Carroll and seconded by Member Mario J. Gangemi, the open session meeting minutes of the MDTA Board meeting held on August 9, 2023 were unanimously approved.

APPROVAL – CLOSED SESSION MEETING MINUTES OF AUGUST 9, 2023

Upon motion by Member Mario J. Gangemi and seconded by Member William H. Cox, Jr., the closed session meeting minutes of the MDTA Board meeting held on August 9, 2023 were unanimously approved.

RESOLUTION – YEARS OF SERVICE RECOGNITION

Mr. Joseph Sagal read the Years of Service Recognition Resolution for Lieutenant Colonel Lucy Lyles. On the occasion of Lieutenant Colonel Lyles' retirement from her distinguished career of service, the Chairman and Members of the Maryland Transportation Authority Board hereby express to her their most sincere appreciation for his excellence and commitment.

APPROVAL – CONTRACT AWARDS

• **J01P3600040 – Panasonic Toughbook Laptops FZ-55 BWI**

Mr. Jeffrey Davis requested approval from the MDTA Board to execute Contract No. J01P3600040 – Panasonic Toughbook Laptops FZ-55 BWI – Small Business Reserve with USC Canterbury Corp. in the amount of \$1,028,244.60.

Mr. Davis explained that this Small Business Reserve (SBR) designated Purchase Order Request for Proposal (PORFP) was solicited to authorized Master Contractors certified as Small Businesses from the Office of State Procurement's Desktop, Laptop, and Tablet 2015 Master Contract, BPO#060B5400007.

He further explained that the MDTA Department of Information Technology is seeking to enter into a Fixed Price Contract for the one-time purchase of 245 Panasonic FZ-55 Laptops, Docking Stations, and Warranties for use by Maryland Transportation Authority Police, Maryland Aviation Administration Police (MAA), and Maryland State Police (MSP) through Interagency Agreements.

Upon motion by Member Mario J. Gangemi and seconded by Member John F. von Paris, the Members unanimously gave approval to execute Contract No. J01P3600040 – Panasonic Toughbook Laptops FZ-55 BWI.

UPDATE – PROCURMENT REPORT ON OPEN CONTRACTS

Mr. Jeffrey Davis presented the MDTA Board with a verbal report on how many contracts are currently open with the MDTA excluding state credit card purchases. There are currently 212 total contracts totaling \$2,815,665,493.10.

APPROVAL – DEBT POLICY

Mr. Allen Garman requested MDTA Board approval of the MDTA's Debt Policy.

Mr. Garman explained that following the annual review by internal staff and an external municipal advisory firm, there is one recommended update to the policy incorporating a new reporting requirement from the Budget Committee Narrative of Fiscal 2023. This Budget Committee Narrative requests that any rating agency reports associated with a financing be forwarded to the State Treasurer's Office in advance of the sale or concurrently.

Upon motion by Member William H. Cox, Jr. and seconded by Member Cynthia D. Penny-Ardinger, the MDTA Debt Policy was unanimously approved.

APPROVAL – QUARTERLY REVIEW OF THE INVESTMENT STRATEGY

Mr. Allen Garman requested MDTA Board approval to continue with the current investment strategy.

Mr. Garman explained that for the trailing twelve-month period ended June 30, 2023, investments conformed to Investment Policy limitations. The longer duration strategies employed in certain reserves generate higher return volatility with expected higher average annual returns over multiyear periods and portfolio structuring by account adhered to MDTA Board approved strategy and should remain consistent, despite short-term return volatility associated with the rising interest rate environment. No changes in performance strategy or benchmark are recommended.

Upon motion by Member W. Lee Gaines, Jr. and seconded by Member John F. von Paris, continuation of the current investment strategy was unanimously approved.

APPROVAL – INVESTMENT POLICY

Mr. Allen Garman requested MDTA Board approval of one proposed technical correction to the MDTA Investment Policy.

Mr. Garman explained that following a recent review by the Investment Committee, including input from the financial advisory firm, no changes to the Investment Policy are currently recommended.

Upon motion by Member Dontae Carroll and seconded by Member W. Lee Gaines, Jr., the Investment Policy was unanimously approved.

UPDATE – MDTA’S INSURANCE RECOVERY PROGRAM

Mr. Percy Dangerfield gave a brief overview of Asset Control and Damage Recovery Unit (ACDR) and introduced Ms. Lynn Fry.

Ms. Fry explained that COMAR 17.01.01.04 gives each State agency the responsibility of taking action, on a timely basis, to collect on all claims for damage caused to State property. Prior to 2010, MDTA did not have a program to collect on these claims; an Insurance Recovery Program has since been developed and implemented throughout the agency.

She further explained the types of damage, procedures for collecting, and referrals to Central Collection Unit (CCU). She ended the presentation by giving an update on monies recovered over the past four years with the most recent year recovering \$1,288,112.11 (not including cases that were sent to the CCU).

UPDATE – EXECUTIVE DIRECTOR’S REPORT

Mr. Joseph Sagal gave the Executive Director’s Report. Mr. Sagal gave updates on the following items: the New Chief Operating Officer Richard Jaramillo; New Acting Facility Administrator for the Bay Bridge James “Jamie” Turner; the Maryland Association of Counties Summer Conference; MDTA Police Recruit Class #57 Graduation; the August 18th America’s 9/11 Ride at the Maryland House; MDTA receiving the Certificate of Achievement for Excellence in Financial Reporting for the FY 2022 Annual Comprehensive Financial Report; the upcoming September 13 Doing Business with the MDTA Event; the upcoming September 13 MDTA Job Fair and Open House; the upcoming presentation to the Maryland Commission on Transportation Revenue and Infrastructure Needs on September 13; and the three upcoming Chesapeake Bay Crossing Study: Tier 2 Open Houses taking place on September 7, 12, and 14.

VOTE TO ADJOURN MEETING

There being no further business, upon motion by Member W. Lee Gaines, Jr. and seconded by Member John F. von Paris, the Members unanimously voted to adjourn the meeting at 9:50 a.m.

The next MDTA Board Meeting will be held on Thursday, September 28, 2023 at 9:00 a.m. at MDTA, 2310 Broening Highway, Baltimore MD and will be livestreamed on the MDTA Board webpage.

APPROVED AND CONCURRED IN:

Paul J. Wiedefeld, Chairman

TAB 2



Maryland Transportation Authority

Wes Moore, Governor
Aruna Miller, Lt. Governor
Paul J. Wiedefeld, Chairman

Board Members:

Dontae Carroll	Cynthia D. Penny-Ardinger
William H. Cox, Jr.	Jeffrey S. Rosen
W. Lee Gaines, Jr.	Samuel D. Snead, MCP, MA
Mario J. Gangemi, P.E.	John F. von Paris

Joseph G. Sagal, Executive Director

MEMORANDUM

TO: MDTA Board
FROM: Deputy Director of Procurement Jeffrey Davis, CPPB
SUBJECT: MT-00210823, Sludge Debris Removal for MDTA's Fort McHenry Tunnel (FMT)
DATE: September 28, 2023

PURPOSE

To seek approval to execute Contract No. MT-00210823, Sludge Debris Removal for MDTA's Fort McHenry Tunnel (FMT).

SUMMARY

The purpose of this contract is to provide services for the removal and disposal of liquids, sludge, and debris from four (4) wet wells located at the Fort McHenry Tunnel (FMT), Baltimore City, Maryland to reduce the amount of sediment into the Bay to avert flooding. The contract includes all labor, transportation, and services necessary for adequate performance in accordance with all applicable local, state, and federal regulations pertaining to worker protection and the protection of the environment.

RECOMMENDATION

To provide approval to execute Contract No. MT-00210823, Sludge Debris Removal for MDTA's Fort McHenry Tunnel (FMT).

ATTACHMENT

- Project Summary



AUTHORITY BOARD PROJECT SUMMARY

Contract No. MT-00210823 - Sludge Debris Removal for MDTA's Fort McHenry Tunnel (FMT)

PIN NUMBER N/A
CONTRACT NUMBER MT-00210823
CONTRACT TITLE Sludge Debris Removal for MDTA's Fort McHenry Tunnel (FMT)

PROJECT SUMMARY The purpose of this contract is to provide services for the removal and disposal of liquids, sludge, and debris from four (4) wet wells located at the Fort McHenry Tunnel (FMT), Baltimore City, Maryland to reduce the amount of sediment into the Bay to avert flooding. The contract includes all labor, transportation, and services necessary for adequate performance in accordance with all applicable local, state, and federal regulations pertaining to worker protection and the protection of the environment. ☐

		MBE PARTICIPATION (N/A)		
SCHEDULE		MBE PARTICIPATION - OVERALL	ADVERTISED GOAL (%)	PROPOSED GOAL (%)
		OVERALL MBE VSBE	0.00%	0.00%
ADVERTISEMENT DATE	6/1/2023			
ANTICIPATED NTP DATE	10/5/2023			
DURATION/TERM	Three (3) Years w/one 2-Year Renewal Option			
		BID PROTEST	YES	NO ✓

BID RESULTS	BID AMOUNT(S)
The Julian Companies, Inc.	\$ 548,475.00
Tri-State Solutions	\$ 661,000.00
Ace Environmental	\$ 1,848,800.00
Eldredge, Inc.	\$ 3,686,100.00
Miller Environmental Group, Inc.	\$ 4,412,850.00



Maryland Transportation Authority

Wes Moore, Governor
Aruna Miller, Lt. Governor
Paul J. Wiedefeld, Chairman

Board Members:

Dontae Carroll	Cynthia D. Penny-Ardinger
William H. Cox, Jr.	Jeffrey S. Rosen
W. Lee Gaines, Jr.	Samuel D. Snead, MCP, MA
Mario J. Gangemi, P.E.	John F. von Paris

Joseph G. Sagal, Executive Director

MEMORANDUM

TO: MDTA Board
FROM: Deputy Director of Procurement Jeffrey Davis, CPPB
SUBJECT: MT-00210859, Janitorial Services - John F. Kennedy (JFK) and Thomas J. Hatem (TJH) Facilities
DATE: September 28, 2023

PURPOSE

To seek approval to execute Contract No. MT-00210859, Janitorial Services - John F. Kennedy (JFK) and Thomas J. Hatem (TJH) Facilities.

SUMMARY

This (Community Services) contract provides comprehensive janitorial services including supervision, supplies, labor, and equipment at the (JFK) and (TJH) facilities using Lyles Cleaning Services, LLC (Lyles). The Pricing and Selection Committee approved the rates for these services on July 27, 2023. Janitorial supplies are included in the contract total and the contract specifies that supplies be purchased from Blind Industries of Maryland, also a Community Service Provider.

RECOMMENDATION

To provide approval to execute Contract No. MT-00210859, Janitorial Services - John F. Kennedy (JFK) and Thomas J. Hatem (TJH) Facilities.

ATTACHMENT

- Project Summary



AUTHORITY BOARD PROJECT SUMMARY

Contract No. MT-00210859 Janitorial Services - John F. Kennedy (JFK) and Thomas J. Hatem (TJH) Facilities

PIN NUMBER N/A
CONTRACT NUMBER MT-00210859
CONTRACT TITLE Janitorial Services - JFK and TJH Facilities

PROJECT SUMMARY This (Community Services) contract provides comprehensive janitorial services including supervision, supplies, labor, and equipment at the (JFK) and (TJH) facilities: Lyles Cleaning Services, LLC (Lyles). The Pricing and Selection Committee approved the rates for these services on July 27, 2023. Janitorial supplies are included in the contract total and the contract specifies that supplies be purchased from Blind Industries of Maryland, also a Community Service Provider.

		MBE PARTICIPATION (N/A)		
			ADVERTISED	PROPOSED
SCHEDULE		MBE PARTICIPATION - OVERALL	GOAL (%)	GOAL (%)
ADVERTISEMENT DATE	N/A	OVERALL MBE	0.00%	0.00%
ANTICIPATED NTP DATE	11/1/2023	VSBE	0.00%	0.00%
DURATION/TERM	Three (3) Years w/one 2-Year Renewal Option			
		BID PROTEST	YES	NO
				✓

NAME OF COMPANY Lyle's Cleaning Company, Inc.
PRICING \$2,160,888.55 (NTE) Cost for 5 Years

TAB 3



Maryland Transportation Authority

Wes Moore, Governor
Aruna Miller, Lt. Governor
Paul J. Wiedefeld, Chairman

Board Members:
Dontae Carroll
William H. Cox, Jr.
W. Lee Gaines, Jr.
Mario J. Gangemi, P.E.
Cynthia D. Penny-Ardinger
Jeffrey S. Rosen
Samuel D. Snead, MCP, MA
John F. von Paris

Joseph G. Sagal, Executive Director

MEMORANDUM

TO: MDTA Board
FROM: Director for Budget Jeffrey Brown
SUBJECT: Fiscal Year 2023 Operating Budget vs. Actual Spending Review
DATE: September 28, 2023

PURPOSE

The purpose of the memorandum is to report on Fiscal Year (FY) 2023 spending compared to the FY 2023 Final Operating Budget.

KEY TAKEAWAYS

Key points regarding actual fiscal year spending relative to the FY 2023 Final Operating Budget:

- As of June 30, 2023, 96% of the budget was spent compared to a target of 100%.
- Object 06 (Fuel & Utilities) was above budget at 110%, due in part, to the delayed receipt of FY 2022 utility invoices that were paid in FY 2023.
- Object 13 (Fixed Charges) was above budget at 148%, primarily due to insurance premium increases.
- All other Objects are at or below the targeted spending level.
- The primary underspending drivers include personnel vacancies and delayed vehicle purchases (shifted to FY 2024).

SUMMARY

Budget analysis threshold: More than \$500,000 budgeted with variances greater than +/- 5% of the targeted spending level.

- Salaries & Wages/Technical & Special Fees (Object 01 & 02) are at targeted spending levels with a 96% spend rate when compared to the projected spend rate of 100%.
- Communications (Object 03) is below budget with an 83% spend rate.

- Telecommunications (0303) is below budget at 56%, primarily attributable to employee vacancies.
 - State Paid Telecommunications (0305) was 92% of budget and accounts for most of the underspending in Object 03. This item contains the annual State Radio System expense (\$1.7 million budgeted compared to \$1.6 million actual invoice).
- Object 4 (Travel) was below budget at 73% spend rate, as spending has not rebounded to pre-Covid levels.
- Fuel and Utilities (Object 06) were above budget at 110%. Delayed billing from FY 2022 (that was not captured in the fiscal year-end accrual) caused this line item to exceed the budget.
- Motor vehicle operations and maintenance (Object 07) is below budget at a 75% spend rate. Delays in orders resulted in the savings for FY 2023. These costs will transfer into FY 2024.
- Contractual Services (Object 08) is within the budget target with a 99% spend rate. Significant spending variances include:
 - Advertising (0801) is at a 65% spend rate, due to reduced activity and lower than anticipated contractual renewal cost.
 - Equipment Rental (0808) is below budget at an 86% spend rate due to reduced printing requests.
 - Equipment Repairs & Maintenance (0809) is slightly below the targeted budget rate with a 93% spend rate.
 - Building/Road Repairs & Maintenance (0812) is below budget at a 69% spend rate. This line item is below budget due to the reduced cost for dehumidification at the Bay Bridge and the transfer of sludge removal activity to FY 2024.
 - Education & Training (0819) is below budget with a 55% spend rate. Savings is due to reduced Career Development Program activity.
 - Management Studies (0821) is below budget with a 34% spend rate. Savings was expected as some of the budgeted activities will shift to FY 2024.
 - Security Services (0823) is at an 80% spend rate, due to reduced usage.
 - Fiscal Services (0829) is at an 87% spend rate mostly due to lower credit card fees.
 - *E-ZPass*® Service Center Costs (0873) is over budget with a 118% spend rate. Costs associated with the Customer Assistance Plan and an understated FY 2022 accrual, primarily account for the increase.

FY 2023 Operating Budget vs. Actual Spending Review
Page Two

- Supplies & Materials (Object 09) is at a 72% spend rate.
 - Roadway Maintenance Materials (0905) is at a 66% spend rate due to an increase in construction (less need for maintenance) and vacancies.
 - Salt (0906) is at 5% spend rate due to the mild winter.
 - Uniforms (0912) is at a 76% spend rate, due to shipping delays.
 - Ammunition (0934) is at an 86% spend rate, due to shipping delays.
 - *E-ZPass* Transponders (0951) is at a 93% spend rate close to the targeted spend rate.
- Replacement Equipment (Object 10) 76% of budget.
 - Other Replacement Equipment (1099) is the major driver of the variance at 72%, due to deferred Police gym equipment.
- Additional Equipment (Object 11) is at a 40% spend rate.
 - Additional Maintenance & Building Equipment (1113) - 9% of budget. FY 2023 expenses for a heavy truck lift delivery at the Nice/Middleton Bridge will shift to FY 2024 due to an auto-shop renovation. Also, the capitalization of a brine maker accounted for the reduced spend.
- Fixed Costs (Object 13) is over budget at a 148% spend rate.
 - Insurance (1309) was overbudget at 133%. The increase is due inflation in the Bridge's & Tunnels Liability insurance premium.

ATTACHMENT

- Budget vs Actual by Object 4th Qtr. FY 2023

MDTA OPERATING FUND
Bgt vs. Actual by Obj and RC Detail
Summary of All Units
For the Twelve Months Ending Friday, June 30, 2023

	<u>Expenditures</u>		<u>YTD</u>		<u>%</u>
	<u>This Month</u>	<u>Budget</u>	<u>Expense</u>	<u>Balance</u>	<u>Spent</u>
OBJECT 01 Salaries and Wages					
0101 REGULAR EARNINGS	\$8,674,230	\$120,475,029	\$92,183,386	\$28,291,643	76.52%
0102 ADDITIONAL ASSISTANCE		194,092		194,092	0.00%
0104 OVERTIME EARNINGS	497,804	4,955,951	5,481,531	(525,580)	110.61%
0104 OVERTIME EARNINGS -	(1,200)	1,339,686	125,587	1,214,099	9.37%
0105 SHIFT DIFFERENTIAL	20,133	993,334	72,443	920,891	7.29%
0110 MISCELLANEOUS P/R AI	29,978	1,421,356	339,721	1,081,635	23.90%
0111 ACCRUED LEAVE PAYM	223,673	192,471	1,043,331	(850,860)	542.07%
0112 RECLASSIFICATIONS		410,058		410,058	0.00%
0151 SOCIAL SECURITY CON	29,300	8,594,673	101,199	8,493,474	1.18%
0152 HEALTH INSURANCE	181,905	19,173,822	577,580	18,596,242	3.01%
0154 RETIREE'S HLTH INSUR	118,238	10,257,987	377,047	9,880,940	3.68%
0161 EMPLOYEES RETIREMEN	27,873	14,507,714	93,847	14,413,867	0.65%
0165 STATE POLICE RETIREM	747,834	3,078,695	2,621,786	456,909	85.16%
0169 LAW ENFORCEMNT OFF PENSION SYS		20,014,531		20,014,531	0.00%
0171 BURDEN EXPENSE	5,954,130		83,019,293	(83,019,293)	0.00%
0174 UNEMPLOYMENT COMP	3,916	337,343	13,552	323,791	4.02%
0175 WORKERS COMPENSATI	3,930,468	3,923,031	3,930,468	(7,437)	100.19%
0189 TURNOVER		(12,047,501)		(12,047,501)	0.00%
0199 OTHER FRINGE BENE - CLOTH ALLOW		853,363	738,242	115,121	86.51%
Total Object 01	20,438,282	198,675,634	190,719,013	7,956,622	96.00%
Object 02 Technical and Special Fees					
0202 PER DIEM PAYMENTS	17,000	150,000	125,544	24,456	83.70%
0211 EMPLOYEE AWARDS		1,000	15	985	1.48%
0220 SPECIAL PAYMENTS PAYROLL		748,548		748,548	0.00%
Total Object 02	17,000	899,548	125,559	773,989	13.96%
Object 03 Communications					
0301 POSTAGE	2,475	71,118	35,967	35,151	50.57%
0302 TELEPHONE	333	228,558	186,192	42,366	81.46%
0303 TELECOMMUNICATIONS	58,558	770,517	431,925	338,592	56.06%
0305 STATE PAID TELECOMMUNICATIONS		1,725,000	1,583,589	141,411	91.80%
0306 CELL PHONE EXPENDIT	61,259	410,706	408,483	2,223	99.46%
Total Object 03	122,625	3,205,899	2,646,156	559,743	82.54%
Object 04 Travel					
0401 IN STATE/ROUTINE OPE	1,821	31,363	15,427	15,935	49.19%
0402 INSTATE/CONF/SEMNR/	11,504	57,229	28,038	29,191	48.99%
0403 OUTSTATE/ROUTINE OP	1,109	55,193	6,283	48,910	11.38%
0404 OUTSTATE/CONF/SEMNI	54,562	269,937	250,403	19,534	92.76%
Total Object 04	68,996	413,722	300,151	113,571	72.55%
Object 06 Fuel and Utilities					
0603 FUEL-OIL #2	920	139,100	138,300	800	99.42%
0606 FUEL-NATURAL GAS/PR	24,367	197,146	311,022	(113,876)	157.76%
0620 UTILITIES-ELECTRICITY	508,936	3,445,787	3,713,802	(268,015)	107.78%
0621 UTILITIES-WATER/SEW	27,552	318,758	340,046	(21,288)	106.68%
Total Object 06	561,775	4,100,791	4,503,170	(402,379)	109.81%
Object 07 Motor Vehicle Operations and Maintenance					
0701 PURCH VEH-CAR,LIGHT	1,616,966	7,981,882	6,209,893	1,771,989	77.80%

MDTA OPERATING FUND
Bgt vs. Actual by Obj and RC Detail
Summary of All Units
For the Twelve Months Ending Friday, June 30, 2023

	Expenditures		YTD	Balance	%
	This Month	Budget	Expense		Spent
0702 VEHICLE GAS & OIL	410,202	3,765,454	1,932,446	1,833,007	51.32%
0703 VEHICLE MAINTENANCE	79,992	1,777,957	1,454,862	323,095	81.83%
0703 VEHICLE MAINTENANCE & REPAIR-SNOW			32	(32)	0.00%
0704 INSURANCE	389,182	407,863	389,182	18,681	95.42%
0721 VEHICLE GAS & OIL - W.	3,935	44,347	29,273	15,074	66.01%
0722 VEHICLE MAINT & REPAIR	2,169	61,431	46,173	15,258	75.16%
0724 BOAT SLIP RENTAL/LAUNCHING FEES		4,200		4,200	0.00%
0730 PURCH VEH-OTHER LAND VEH - DUMP, TRACTOR			80	(80)	0.00%
0731 GAS & OIL - OTHER LAND	151,321	990,000	876,238	113,762	88.51%
0732 LG VEHICLE MAINT & REPAIR	127,916	2,000,000	1,912,172	87,828	95.61%
0732 LG VEHICLE MAINT & REPAIR-SNOW			1,462	(1,462)	0.00%
0789 COMMUTER CHARGE	(611)	(5,000)	(14,109)	9,109	282.18%
0799 OTHER MOTOR VEHICLE CHARGES		50,000	50,840	(840)	101.68%
Total Object 07	2,781,072	17,078,134	12,888,544	4,189,590	75.47%

Object 08 Contractual Services

0801 ADVERTISING/LEGAL PRINTING	611,166	3,250,353	2,101,228	1,149,125	64.65%
0802 APPLICATIONS SOFTWARE MAINTENANCE		100,000	84,990	15,010	84.99%
0804 PRINTING/REPRODUCTION		22,000	10,939	11,061	49.72%
0807 ENGINEERS	569,223	3,750,000	2,593,622	1,156,378	69.16%
0807 ENGINEERS - Environmental	1,368,293	2,600,000	2,656,403	(56,403)	102.17%
0807 ENGINEERS - Highways (MA)	15,933	245,000	48,286	196,714	19.71%
0807 ENGINEERS - Architectural	198,473	260,000	481,098	(221,098)	185.04%
0807 ENGINEERS - ITS/Electrical	282,431	650,000	950,088	(300,088)	146.17%
0807 ENGINEERS - Structural (MA)	297,090	1,500,000	1,438,403	61,597	95.89%
0807 ENGINEERS - Traffic (MA)	326,712	1,500,000	1,622,057	(122,057)	108.14%
0807 ENGINEERS - Asset Mgmt	306,690	700,000	696,962	3,038	99.57%
0807 ENGINEERS - On-Call (All)	(430,358)	2,700,000	3,544,032	(844,032)	131.26%
0807 ENGINEERS - Annual Inspection	7,540,517	15,000,000	15,827,103	(827,103)	105.51%
0808 EQUIPMENT RENTAL	69,268	502,101	432,282	69,819	86.09%
0809 EQUIPMENT REPAIRS & MAINT	16,070	1,610,607	1,505,363	105,244	93.47%
0810 EXTERMINATION		16,771	(653)	17,424	(3.89%)
0812 BUILDING/ROAD REPAIRS	378,673	14,608,242	3,484,303	11,123,939	23.85%
0812 BUILDING/ROAD REPAIRS	1,044,148		6,541,882	(6,541,882)	0.00%
0813 JANITORIAL SERVICES	82,032	1,431,411	1,509,408	(77,996)	105.45%
0814 GROUNDS MAINTENANCE		46,490	6,818	39,672	14.67%
0815 LAUNDRY	303	3,199	1,528	1,671	47.77%
0817 LEGAL SERVICES	39,733	204,381	242,176	(37,795)	118.49%
0819 EDUCATION/TRAINING (C)	174,670	1,355,688	749,458	606,230	55.28%
0820 MEDICAL CARE	86,194	271,720	293,046	(21,326)	107.85%
0821 MGMT STUDIES AND CONSULTING	289,698	3,448,658	1,172,758	2,275,901	34.01%
0823 SECURITY SERVICES	36,779	981,190	788,608	192,582	80.37%
0824 LABORATORY SERVICES	4,682	47,736	33,634	14,102	70.46%
0825 VETERINARIAN	9,009	31,565	28,096	3,469	89.01%
0826 FREIGHT AND DELIVERY	653	18,720	9,622	9,098	51.40%
0827 TRASH AND GARBAGE FEE	110,837	446,051	483,190	(37,139)	108.33%
0828 OFFICE ASSISTANCE	1,546	61,244	41,784	19,460	68.22%
0829 FISCAL SERVICES	2,510,016	18,859,000	16,352,290	2,506,710	86.71%
0841 DP CENTRAL PROCESSING	128,755	1,100,000	811,770	288,230	73.80%
0843 DP COMMUNICATIONS (C)	102,966	480,000	511,724	(31,724)	106.61%
0849 TELECOMM LINES, MOE	20,197	98,453	98,239	214	99.78%

MDTA OPERATING FUND
Bgt vs. Actual by Obj and RC Detail
Summary of All Units
For the Twelve Months Ending Friday, June 30, 2023

		<u>Expenditures</u>	<u>Budget</u>	<u>YTD</u>	<u>Balance</u>	<u>%</u>
		<u>This Month</u>		<u>Expense</u>		<u>Spent</u>
0854	COMPUTER MAINTENANCE CONTRACT		183,160		183,160	0.00%
0858	SOFTWARE LICENSES	42,581	146,302	101,862	44,440	69.62%
0861	APPL SOFTWARE ACQUISITION			56,275	(56,275)	0.00%
0862	APPL SOFTWARE MAINI	127,999	2,218,082	3,855,782	(1,637,700)	173.83%
0864	SYSTEMS SOFTWARE M.	46,146	500,000	440,240	59,760	88.05%
0865	OUTSIDE SVCS-SYS ANA	1,536,165	7,317,000	6,087,878	1,229,122	83.20%
0866	OUTSIDE SVCS-PROGRA	98,942	415,000	382,168	32,832	92.09%
0869	OUTSIDE SVCS-COMPUT	43,491	762,000	404,043	357,957	53.02%
0873	OUTSIDE SVC - E-Z PASS	16,641,163	50,154,944	59,366,546	(9,211,602)	118.37%
0874	OFFICE OF ATTORNEY GENERAL FEE		44,265	42,474	1,791	95.95%
0875	RETIREMENT AGENCY ADMIN FEE		204,565	218,139	(13,574)	106.64%
0876	STATEWIDE DOIT SERVICES		80,604		80,604	0.00%
0894	STATEWIDE PERSONNEL	26,816	55,433	33,360	22,073	60.18%
0897	STATE ENTERPRISE BUDGET SYSTEM		27,574		27,574	0.00%
0899	OTHER CONTRACTUAL	719,232	2,668,679	2,564,421	104,258	96.09%
Total Object 08		35,474,932	142,678,187	140,705,721	1,972,467	98.62%

Object 09 Supplies and Materials

0901	AGRICULTURE	11,277	30,740	39,511	(8,771)	128.53%
0902	OFFICE SUPPLIES	53,964	396,672	293,685	102,987	74.04%
0903	ELECTRICAL MATERIAL	18,980	428,043	326,421	101,622	76.26%
0904	BUILDING & HOUSEHOL	63,543	403,664	347,927	55,737	86.19%
0905	ROADWAY MAINT MATI	74,161	670,774	441,505	229,269	65.82%
0906	SALT/SNOW MELTING MATERIALS		1,566,823	72,844	1,493,979	4.65%
0908	HOUSEKEEPING SUPPLI	4,619	76,069	44,092	31,977	57.96%
0909	MEDICAL SUPPLIES	1,718	40,314	13,541	26,773	33.59%
0912	WEARING APPAREL-UNI	129,122	1,110,734	844,651	266,083	76.04%
0915	LIBRARY SUPPLIES	4,301	23,675	21,672	2,003	91.54%
0917	SMALL TOOLS	75,483	384,798	301,555	83,243	78.37%
0918	VETERINARY SUPPLIES		29,381	12,078	17,303	41.11%
0920	FOOD	28,667	185,414	110,591	74,823	59.65%
0926	DATA PROCESSING SUPI	6,383	41,774	25,879	15,896	61.95%
0934	AMMO GUNS FIRING RA	46,595	576,321	493,677	82,644	85.66%
0951	E-ZPASS TRANSPONDER	242,515	4,365,000	4,047,948	317,052	92.74%
0999	OTHER SUPPLIES AND M	22,036	307,428	181,413	126,015	59.01%
Total Object 09		783,365	10,637,624	7,618,989	3,018,634	71.62%

Object 10 Replacement Equipment

1002	REPL AUDIO-VISUAL EQUIP			1,308	(1,308)	0.00%
1013	REPL MAINTENANCE & I	135,769	496,500	256,492	240,008	51.66%
1015	REPL OFFICE EQUIPMEN	8,792	74,907	93,844	(18,937)	125.28%
1019	REPL RADIOS & ELECTRONIC EQUIPME		206,000	51,950	154,050	25.22%
1031	REPL DP EQUIP-MAINFRAME		75,000	605	74,395	0.81%
1033	REPL DP EQUIP-MICROC	260,485	1,250,000	1,227,496	22,504	98.20%
1036	REPL DP EQUIP-PERIPHERALS			19,443	(19,443)	0.00%
1099	OTHER REPLACEMENT I	40,442	978,900	700,645	278,255	71.57%
Total Object 10		445,489	3,081,307	2,351,783	729,524	76.32%

Object 11 Additional Equipment

1102	ADDT'L AUDIO-VISUAL EQUIP		12,500	(77,305)	89,805	(618.44%)
1103	ADDT'L CLEANING EQUIPMENT		10,000	217	9,783	2.17%

MDTA OPERATING FUND
Bgt vs. Actual by Obj and RC Detail
Summary of All Units
For the Twelve Months Ending Friday, June 30, 2023

	Expenditures	Budget	YTD	Balance	%
	This Month		Expense		Spent
1109 ADDT'L HUMAN ENVIRONMENTAL EQ		1,000	1,847	(847)	184.74%
1113 ADDT'L MAINTENANCE	5,775	501,000	43,433	457,567	8.67%
1115 ADDT'L OFFICE EQUIPM	2,611	35,000	36,943	(1,944)	105.55%
1133 ADDT'L DP EQUIP-MICROCOMPUTER		100,000	3,818	96,182	3.82%
1136 ADDT'L DP EQUIP-PERIP	270		270	(270)	0.00%
1199 OTHER ADDITIONAL EQ	172,112	472,085	443,311	28,774	93.90%
Total Object 11	180,768	1,131,585	452,533	679,051	39.99%
Object 13 Fixed Charges					
1301 RENT			105	(105)	0.00%
1302 INSURANCE COVERAGE	429,821	468,408	565,495	(97,087)	120.73%
1303 RENT PAID TO DGS		1,100		1,100	0.00%
1304 SUBSCRIPTIONS	4,670	53,160	9,355	43,805	17.60%
1305 ASSOCIATION DUES	4,023	254,605	180,387	74,219	70.85%
1308 LICENSES	1,246	9,770	7,435	2,335	76.10%
1309 INSURANCE (NON STO P	24,995	4,463,488	5,950,722	(1,487,234)	133.32%
1320 BAD DEBT EXPENSE	199,964		1,032,183	(40,951,561)	2.57%
Total Object 13	40,584,098	5,250,531	7,745,682	(42,414,529)	147.52%
Total All Objects	61,539,024	387,152,963	370,057,302	(22,823,717)	95.58%

TAB 4



Maryland Transportation Authority

Wes Moore, Governor
Aruna Miller, Lt. Governor
Paul J. Wiedefeld, Chairman

Board Members:

Dontae Carroll	Cynthia D. Penny-Ardinger
William H. Cox, Jr.	Jeffrey S. Rosen
W. Lee Gaines, Jr.	Samuel D. Snead, MCP, MA
Mario J. Gangemi, P.E.	John F. von Paris

Joseph G. Sagal, Executive Director

MEMORANDUM

TO: MDTA Board Members
FROM: Assistant Capital Program Manager Jennifer Stump
SUBJECT: Fourth Quarter Review of Fiscal Year 2023 Capital Budget vs. Actual Spending
DATE: September 28, 2023

PURPOSE OF MEMORANDUM

The purpose of the memorandum is to update the MDTA Board on the status of actual Fiscal Year (FY) 2023 spending against the FY 2023 capital budget in the FY 2023-2028 Draft Consolidated Transportation Program (CTP). This information was presented to the MDTA Finance Committee on September 14, 2023.

SUMMARY

As of June 30, 2023, 81.2% of the FY 2023 budget was spent as compared to the targeted spending level of 100%. The total budget for FY 2023 is \$556.0 million. The actual spending through the fourth quarter was \$451.7 million.

ANALYSIS

Eleven of the 91 projects budgeted in FY 2023 were within the acceptable spending limits of 90% to 110% (plus or minus 10% of the 100% target). The variation is decreased from the 25% reported for the first, second, and third quarters because all allocations, accruals, and FY 2023 invoices were posted.

Actual spending through the fourth quarter for ten projects budgeted for more than \$11 million each in FY 2023 was \$323.2 million. The ten projects are detailed in Attachment A.

ATTACHMENT

- Attachment A – FY 2023 Capital Program Spending – Projects with FY 2023 Budget Over \$11 Million

**FY 2023 Capital Program Spending
Compared to Draft FY 2023-2028 CTP Budget
Projects with FY 2023 Budget Over \$11 Million**

PIN	Facility	Project Name	FY 2023 Budget Draft FY23-28 CTP (\$ Million)	FY 2023 Actual thru 06/30/2023 (\$ Million)	FY 2023 4th Qtr Spend Rate
1024	NB	Replace Nice/Middleton Bridge	\$116.2	\$85.4	74%
2453	KH	I-95 ETL Northbound Extension - Express Toll Lanes to MD 152	\$80.0	\$71.6	89%
2491	KH	I-95 ETL Northbound Extension - MD 152 Interchange Reconstruction	\$68.1	\$88.7	130%
2492	KH	I-95 ETL Northbound Extension - MD 24 to Bynum Run	\$18.0	\$0.8	4%
2317	BB	Rehabilitate Decks of Eastbound Span - Phase I	\$17.6	\$21.4	122%
2477	KH	I-95/Belvidere Road Interchange	\$17.3	\$7.3	42%
2251	FT	Rehabilitate Fort McHenry Tunnel Vent Fans	\$15.5	\$15.9	103%
2147	MA	Replace Electronic Toll Collection and Operating System - 3rd Generation	\$12.6	\$13.5	107%
2471	MA	10-Year Equipment Budget - FY 2018 - FY 2027	\$11.9	\$6.8	57%
2534	KH	I-95 ETL Northbound Extension - Eccelston Mitigation	\$11.8	\$11.8	100%
Total			\$369.0	\$323.2	88%

TAB 5



Maryland Transportation Authority

Wes Moore, Governor
Aruna Miller, Lt. Governor
Paul J. Wiedefeld, Chairman

Board Members:
Dontae Carroll
William H. Cox, Jr.
W. Lee Gaines, Jr.
Mario J. Gangemi, P.E.
Cynthia D. Penny-Ardinger
Jeffrey S. Rosen
Samuel D. Snead, MCP, MA
John F. von Paris

Joseph G. Sagal, Executive Director

MEMORANDUM

TO: MDTA Board
FROM: Chief Financial Officer Deborah Sharpless
PREPARED BY: Director of Revenue Cheryl Lewis-Orr
SUBJECT: Third Quarter Fiscal Year 2023 Traffic and Revenue Performance
DATE: September 28, 2023

PURPOSE OF MEMORANDUM

To provide the MDTA with a quarterly and year-to-date update regarding traffic and toll revenue trends compared to the previous year and the forecast. This item was discussed with the Finance Committee on September 14, 2023.

KEY TAKEAWAYS

- Passenger vehicle traffic levels continue to track very closely to pre-pandemic levels.
- Commercial vehicle traffic levels continue to outperform pre-pandemic levels.
- Systemwide, transactions and revenue exceed the forecast by 4.2% and 8.5%, respectively.

ANALYSIS

This quarterly review looks at traffic and toll revenue trends and compares actual system-wide experience with traffic and toll revenue forecasts. CDM Smith continues to track and evaluate the performance of traffic at the lane level (Attachment Figure 1) and traffic and revenue collected on a cash basis.

FY 2023 Actuals Compared to FY 2022 Actuals and FY 2023 Forecast versus FY2023 Actuals

Combined Facilities														
TRANSACTIONS (in Millions)								REVENUE (in Millions)						
	FY22	FY23			FY23			FY22	FY23			FY23		
	Actual	Actual	Diff	% Change	Forecast	Diff	% Diff	Actual	Actual	Diff	% Change	Forecast	Diff	% Diff
Qtr. 1	42.7	42.6	(0.1)	-0.3%	41.0	1.6	3.8%	\$ 196.6	\$ 199.8	\$ 3.2	1.6%	\$ 187.8	\$ 12.0	6.4%
Qtr. 2	40.7	43.6	2.9	7.2%	39.5	4.1	10.3%	188.9	205.9	17.0	9.0%	177.2	28.8	16.2%
Qtr. 3	43.1	35.7	(7.4)	-17.2%	35.4	0.3	0.8%	215.7	177.0	(38.7)	-18.0%	163.4	13.6	8.3%
Qtr. 4	42.9	40.8	(2.1)	4.9%	40.2	0.6	-1.4%	203.0	194.6	(8.5)	-4.2%	188.1	6.4	3.4%
YTD Totals	169.3	162.6	(6.7)	-4.0%	156.2	6.5	4.2%	\$ 804.2	\$777.3	\$(26.9)	-3.3%	\$ 716.6	\$60.7	8.5%

*Note: Numbers may not sum due to rounding

As shown in the Table above, for the period ended June 30, 2023, year-to-date (YTD) systemwide transactions totaled 162.6 million and corresponding collected revenue totaled \$777.3 million. This represents a decrease of 6.7 million transactions, or 4.0%, compared to the same period last year. Similarly, YTD revenue decreased by \$26.9 million, or 3.3%, compared to the same period last year. During the fourth quarter of FY 2023, transactions decreased by 2.1 million, or 4.9%, compared to the same period last year, and revenue decreased by \$8.5 million, or 4.2%. The variation in collected transactions and revenue are mainly due to the transaction backlog. Both *E-ZPass*® (Electronic Toll Collection) and video toll transactions were processed at higher rates during the third and fourth quarters of FY 2022. Transaction processing is expected to stabilize in the coming fiscal year.

For the period ended June 30, 2023, actual YTD transactions were above forecast by 6.5 million, or 4.2%, and revenue was above forecast by \$60.7 million, or 8.5%. The \$60.7 million variance in actual revenue performance compared to the forecast was due to multiple factors, including processing transactions from FY 2021 and FY 2022 that were not included in the forecast, higher than forecasted Pay-by-Plate usage, lower than forecasted video toll collection rates, higher than forecasted *E-ZPass*® transactions and Administrative Toll revenue. (Attachment C).

ATTACHMENTS

- Attachment A: Summary of Revenue
- Attachment B: Comparison of Official Forecast to Actual Toll Revenue Performance
- Attachment C: Analysis of Actual Toll Revenue Performance & Financial Forecast Differences
- Attachment D: FY 2023 Forecast vs Actual Revenue – by Facility
- Attachment E: FY 2023 Forecast vs. Actual Revenue – By Payment Method
- Attachment Figure 1: Fiscal Year Systemwide Actual Transactions Per Week

Fourth Quarter Fiscal Year 2023 Traffic and Revenue Performance Report
Page Three

Attachment A
Summary of Revenue
FY2023 Forecasted and Actual Revenue Comparison

	E-ZPass®	Video, Administrative ¹ & Pay-By-Plate	Total
Forecast Revenue	\$556,284,130	\$160,269,496	\$716,553,626
<i>Less</i> Actual Revenue	632,411,628	144,890,946	777,302,574
Difference	\$76,127,498	(\$15,378,550)	\$60,748,948

¹ Includes items such as Hatem Bridge discount plan (\$20.00 annual plan), oversize permit fees, transponder sales, civil penalties, unused trips, commercial post-usage discount & monthly account fees (non-Maryland addresses).

Attachment B
Comparison of Official Forecast to Actual Toll Revenue Performance
(In millions)

July 1, 2022 to Jun 30, 2023

Toll Revenue Forecast: **\$716,553,626**

Actual Revenue:

<i>E-ZPass</i>	632,411,628
Pay-By-Plate	3,998,316
Video Toll	107,725,000
Administrative Toll	33,167,631
Total Actual Revenue	\$777,302,574
Actual Revenue <i>less</i> Forecasted Revenue	\$60,748,948

Four Quarter Fiscal Year 2023 Traffic and Revenue Performance Report
Page Four

Attachment C

Analysis of Actual Toll Revenue Performance & Financial Forecast Differences

July 1, 2022 to Jun 30, 2023

Differences (Forecast less Actual Revenue) \$60,748,948

Forecast Differences:

<i>E-ZPass</i> Transactions Higher than Forecasted (FY2021 & 2022) - one time	8,088,599
<i>E-ZPass</i> Daily Average Revenue Higher than Forecasted (FY2023) - ongoing	51,928,911
<i>E-ZPass</i> Timing Difference Recognized: No longer a 10 day Processing Lag - one time	15,780,636
Pay-By-Plate Usage Higher than Forecasted (FY2023) - ongoing	2,321,259
Video Toll Average Toll Rate Lower than Forecasted (FY2023) - ongoing	(3,223,736)
Video Toll Average Toll Rate Lower than Forecasted (FY2021 & 2022) - one time	(3,772,963)
Video Toll Collection Rate Lower than Forecasted (FY2023) - ongoing	(49,866,440)
Video Toll Collection Rate Higher than Forecasted (FY2021 & 2022) - one time	13,922,814
Video Toll Transactions Mailed Higher than the Forecast (FY2023) - one time	710,325
Video Toll Transactions Mailed Higher than the Forecast (FY2021 & 2022) - one time	13,939,560
Administrative Toll Revenue Higher than Forecasted - ongoing	10,590,630

Total Forecast Differences	\$60,419,596
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Unreconciled/Analyzed Difference	(\$329,352)
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Fourth Quarter Fiscal Year 2023 Traffic and Revenue Performance Report
Page Five

Attachment D
FY 2023 Forecast vs. Actual Revenue – By Facility

Legacy Facilities														
		July	August	September	October	November	December	January	February	March	April	May	June	Total
E-ZPass	Forecast	\$ 47,471,718	\$ 42,351,028	\$ 39,675,474	\$ 41,014,031	\$ 39,696,500	\$ 40,023,143	\$ 36,691,130	\$ 34,654,709	\$ 39,690,826	\$ 40,454,520	\$ 41,759,502	\$ 41,336,620	\$ 484,819,200
	Actual	47,471,718	42,866,442	60,776,297	52,263,804	46,197,971	43,246,729	40,722,399	37,306,816	45,672,353	46,185,181	50,437,951	47,826,636	\$ 560,974,298
	Difference	(0)	515,414	21,100,823	11,249,773	6,501,471	3,223,586	4,031,270	2,652,108	5,981,527	5,730,661	8,678,449	6,490,016	\$ 76,155,098
Video, Pay-By-Plate & Other	Forecast	10,596,212	12,817,327	13,826,470	13,905,801	11,057,674	10,884,095	11,811,966	10,593,656	11,030,457	13,129,334	13,502,045	14,984,706	\$ 148,139,741
	Actual	11,678,209	10,054,422	5,124,194	7,749,322	20,247,790	13,008,138	11,874,512	9,494,878	10,920,454	8,596,786	8,770,850	8,955,987	\$ 126,475,542
	Difference	1,081,998	(2,762,904)	(8,702,277)	(6,156,479)	9,190,115	2,124,043	62,546	(1,098,778)	(110,003)	(4,532,548)	(4,731,194)	(6,028,719)	\$ (21,664,200)
Total	Forecast	58,067,930	55,168,354	53,501,944	54,919,832	50,754,174	50,907,238	48,503,095	45,248,364	50,721,283	53,583,854	55,261,546	56,321,326	\$ 632,958,941
	Actual	59,149,927	52,920,865	65,900,490	60,013,126	66,445,761	56,254,868	52,596,911	46,801,694	56,592,807	54,781,967	59,208,801	56,782,623	\$ 687,449,840
	Difference	\$ 1,081,997	\$ (2,247,490)	\$ 12,398,546	\$ 5,093,294	\$ 15,691,587	\$ 5,347,629	\$ 4,093,816	\$ 1,553,330	\$ 5,871,524	\$ 1,198,113	\$ 3,947,255	\$ 461,297	\$ 54,490,899

Intercounty Connector														
		July	August	September	October	November	December	January	February	March	April	May	June	Total
E-ZPass	Forecast	\$ 4,527,768	\$ 4,807,871	\$ 5,106,502	\$ 5,406,494	\$ 4,515,389	\$ 4,261,235	\$ 3,990,287	\$ 3,852,893	\$ 4,819,984	\$ 4,724,303	\$ 5,022,046	\$ 4,938,375	\$ 55,973,146
	Actual	4,527,768	4,198,483	5,908,850	5,295,358	4,676,694	4,613,665	4,393,790	4,005,603	4,921,088	4,941,091	5,667,231	5,139,088	\$ 58,288,708
	Difference	-	(609,388)	802,348	(111,136)	161,305	352,430	403,503	152,710	101,104	216,789	645,185	200,713	\$ 2,315,562
Video, Pay-By-Plate & Other	Forecast	1,171,031	770,474	813,105	817,486	670,257	653,287	942,594	905,121	907,843	1,222,983	1,212,610	1,399,493	\$ 11,486,283
	Actual	1,348,488	1,219,474	839,559	1,071,008	2,433,660	1,492,027	1,462,854	1,394,355	1,839,841	1,428,942	1,578,572	1,498,912	\$ 17,607,692
	Difference	177,457	449,000	26,455	253,523	1,763,403	838,739	520,260	489,234	931,998	205,959	365,961	99,419	\$ 6,121,409
Total	Forecast	5,698,799	5,578,345	5,919,606	6,223,980	5,185,646	4,914,522	4,932,882	4,758,014	5,727,827	5,947,286	6,234,656	6,337,868	\$ 67,459,430
	Actual	5,876,256	5,417,957	6,748,409	6,366,366	7,110,354	6,105,692	5,856,644	5,399,958	6,760,929	6,370,033	7,245,802	6,638,000	\$ 75,896,401
	Difference	\$ 177,457	\$ (160,388)	\$ 828,803	\$ 142,386	\$ 1,924,708	\$ 1,191,170	\$ 923,763	\$ 641,945	\$ 1,033,102	\$ 422,748	\$ 1,011,146	\$ 300,132	\$ 8,436,971

I-95 Express Toll Lanes														
		July	August	September	October	November	December	January	February	March	April	May	June	Total
E-ZPass	Forecast	\$ 1,123,818	\$ 1,399,619	\$ 1,201,225	\$ 1,406,587	\$ 1,351,667	\$ 1,347,821	\$ 1,008,711	\$ 1,164,116	\$ 1,222,382	\$ 1,450,583	\$ 1,417,654	\$ 1,397,603	\$ 15,491,784
	Actual	1,123,818	908,165	1,577,354	1,254,440	1,091,863	998,216	900,961	869,180	1,023,468	1,124,718	1,152,446	1,123,993	\$ 13,148,621
	Difference	0	(491,453)	376,129	(152,147)	(259,804)	(349,605)	(107,750)	(294,936)	(198,914)	(325,865)	(265,207)	(273,610)	\$ (2,343,163)
Video, Pay-By-Plate & Other	Forecast	89,882	53,927	46,283	54,195	52,079	51,931	38,865	44,853	47,098	55,890	54,621	53,849	\$ 643,472
	Actual	89,882	73,923	51,600	46,705	157,153	96,326	66,719	38,704	61,432	46,075	33,976	45,218	\$ 807,712
	Difference	-	19,997	5,317	(7,490)	105,074	44,395	27,854	(6,149)	14,335	(9,815)	(20,646)	(8,631)	\$ 164,241
Total	Forecast	1,213,699	1,453,545	1,247,508	1,460,782	1,403,746	1,399,752	1,047,576	1,208,968	1,269,479	1,506,473	1,472,275	1,451,452	\$ 16,135,256
	Actual	1,213,699	982,088	1,628,954	1,301,144	1,249,017	1,094,542	967,679	907,884	1,084,900	1,170,793	1,186,422	1,169,211	\$ 13,956,334
	Difference	\$ 0	\$ (471,457)	\$ 381,446	\$ (159,637)	\$ (154,729)	\$ (305,210)	\$ (79,896)	\$ (301,084)	\$ (184,580)	\$ (335,681)	\$ (285,853)	\$ (282,241)	\$ (2,178,922)

All Facilities														
		July	August	September	October	November	December	January	February	March	April	May	June	Total
E-ZPass	Forecast	\$ 64,980,428	\$ 62,200,244	\$ 60,669,058	\$ 62,604,593	\$ 57,343,566	\$ 57,221,512	\$ 54,483,553	\$ 51,215,346	\$ 57,718,589	\$ 61,037,612	\$ 62,968,477	\$ 64,110,646	\$ 716,553,626
	Actual	66,239,882	59,320,910	74,277,853	67,680,636	74,805,131	63,455,102	59,421,235	53,109,536	64,438,636	62,322,793	67,641,025	64,589,835	\$ 777,302,574
	Difference	\$ 1,259,454	\$ (2,879,334)	\$ 13,608,795	\$ 5,076,043	\$ 17,461,565	\$ 6,233,589	\$ 4,937,682	\$ 1,894,190	\$ 6,720,047	\$ 1,285,181	\$ 4,672,548	\$ 479,189	\$ 60,748,948

Third Quarter Fiscal Year 2023 Traffic and Revenue Performance Report
Page Six

Attachment E

FY 2022 Forecast vs. Actual Revenue – By Payment Method

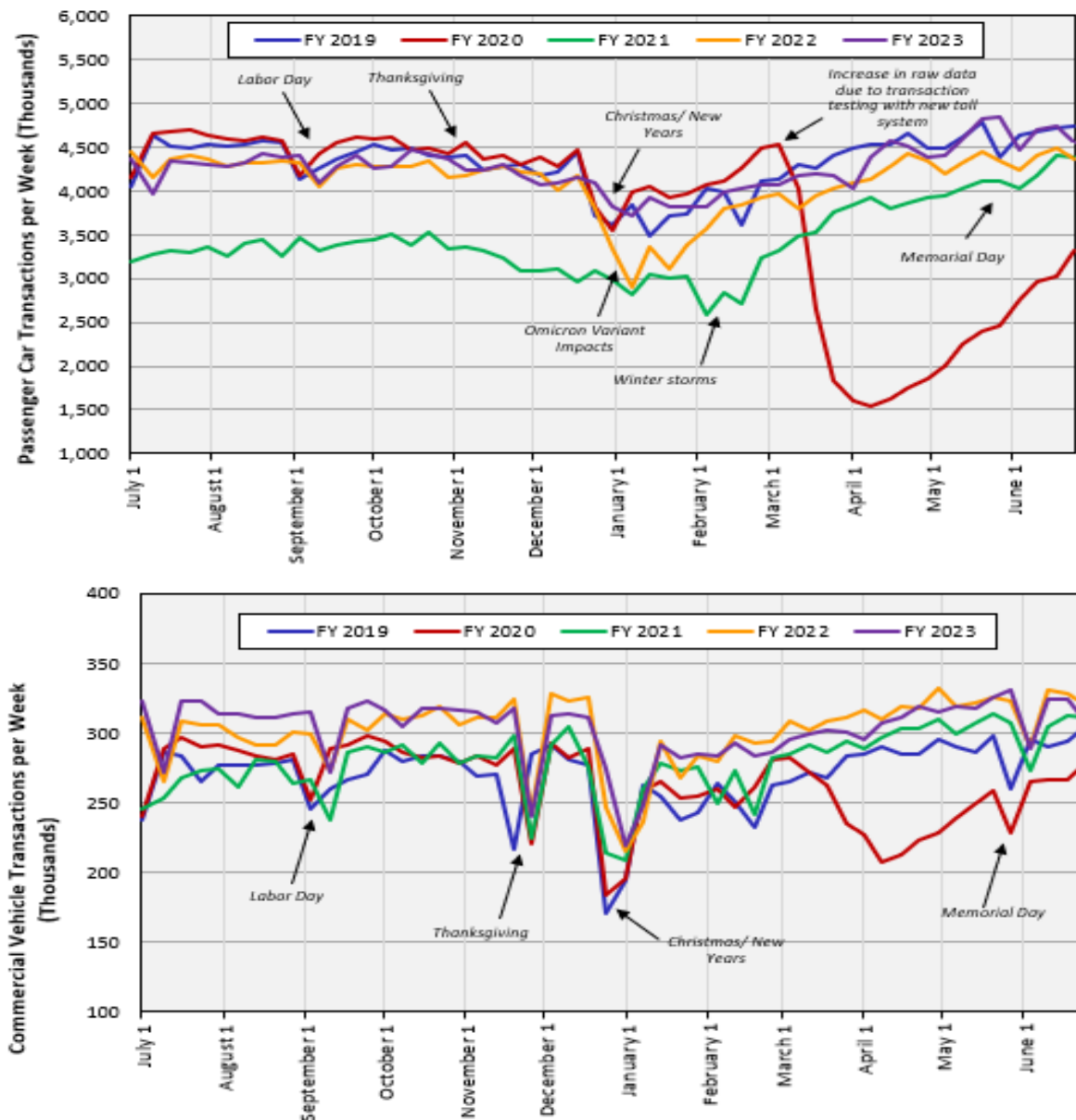
E-ZPass													
	July	August	September	October	November	December	January	February	March	April	May	June	Total
Forecast	Legacy	\$ 47,471,718	42,351,028	39,675,474	41,014,031	39,696,500	40,023,143	36,691,130	34,654,709	39,690,826	40,454,520	41,759,502	41,336,620 \$ 484,819,200
	ICC	4,527,768	4,807,871	5,106,502	5,406,494	4,515,389	4,261,235	3,990,287	3,852,893	4,819,984	4,724,303	5,022,046	4,938,375 \$ 55,973,146
	ETL	1,123,818	1,399,619	1,201,225	1,406,587	1,351,667	1,347,821	1,008,711	1,164,116	1,222,382	1,450,583	1,417,654	1,397,603 \$ 15,491,784
	Total	53,123,304	48,558,518	45,983,201	47,827,112	45,563,556	45,632,199	41,690,128	39,671,717	45,733,192	46,629,406	48,199,201	47,672,598 \$ 556,284,130
Actual	Legacy	47,471,718	42,866,442	60,776,297	52,263,804	46,197,971	43,246,729	40,722,399	37,306,816	45,672,353	46,185,181	50,437,951	47,826,636 \$ 560,974,298
	ICC	4,527,768	4,198,483	5,908,850	5,295,358	4,676,694	4,613,665	4,393,790	4,005,603	4,921,088	4,941,091	5,667,231	5,139,088 \$ 58,288,708
	ETL	1,123,818	908,165	1,577,354	1,254,440	1,091,863	998,216	900,961	869,180	1,023,468	1,124,718	1,152,446	1,123,993 \$ 13,148,621
	Total	53,123,303	47,973,090	68,262,500	58,813,602	51,966,528	48,858,610	46,017,150	42,181,599	51,616,908	52,250,990	57,257,628	54,089,718 632,411,628
Difference	\$	(0)	\$ (585,427)	\$ 22,279,299	\$ 10,986,490	\$ 6,402,972	\$ 3,226,412	\$ 4,327,023	\$ 2,509,882	\$ 5,883,716	\$ 5,621,585	\$ 9,058,427	\$ 6,417,120 \$ 76,127,498

Video, Pay-By-Plate & Other													
	July	August	September	October	November	December	January	February	March	April	May	June	Total
Forecast	Legacy	\$ 10,596,212	\$ 12,817,327	\$ 13,826,470	13,905,801	11,057,674	10,884,095	11,811,966	10,593,656	11,030,457	13,129,334	13,502,045	14,984,706 \$ 148,139,741
	ICC	1,171,031	770,474	813,105	817,486	670,257	653,287	942,594	905,121	907,843	1,222,983	1,212,610	1,399,493 \$ 11,486,283
	ETL	89,882	53,927	46,283	54,195	52,079	51,931	38,865	44,853	47,098	55,890	54,621	53,849 \$ 643,472
	Total	11,857,125	13,641,727	14,685,857	14,777,481	11,780,010	11,589,314	12,793,425	11,543,629	11,985,397	14,408,207	14,769,276	16,438,048 \$ 160,269,496
Actual	Legacy	\$ 11,678,209	\$ 10,054,422	\$ 5,124,194	\$ 7,749,322	\$ 20,247,790	\$ 13,008,138	\$ 11,874,512	\$ 9,494,878	\$ 10,920,454	\$ 8,596,786	\$ 8,770,850	\$ 8,955,987 \$ 126,475,542
	ICC	1,348,488	1,219,474	839,559	1,071,008	2,433,660	1,492,027	1,462,854	1,394,355	1,839,841	1,428,942	1,578,572	1,498,912 \$ 17,607,692
	ETL	89,882	73,923	51,600	46,705	157,153	96,326	66,719	38,704	61,432	46,075	33,976	45,218 \$ 807,712
	Total	13,116,579	11,347,820	6,015,353	8,867,035	22,838,603	14,596,491	13,404,084	10,927,937	12,821,727	10,071,803	10,383,398	10,500,117 144,890,946
Difference	\$	1,259,454	\$ (2,293,907)	\$ (8,670,504)	\$ (5,910,447)	\$ 11,058,593	\$ 3,007,178	\$ 610,659	\$ (615,692)	\$ 836,330	\$ (4,336,404)	\$ (4,385,879)	\$ (5,937,931) \$ (15,378,550)

All Revenue													
	July	August	September	October	November	December	January	February	March	April	May	June	Total
Forecast	\$ 64,980,428	\$ 62,200,244	\$ 60,669,058	\$ 62,604,593	\$ 57,343,566	\$ 57,221,512	\$ 54,483,553	\$ 51,215,346	\$ 57,718,589	\$ 61,037,612	\$ 62,968,477	\$ 64,110,646	\$ 716,553,626
Actual	66,239,882	59,320,910	74,277,853	67,680,636	74,805,131	63,455,102	59,421,235	53,109,536	64,438,636	62,322,793	67,641,025	64,589,835	\$ 777,302,574
Difference	\$ 1,259,454	\$ (2,879,334)	\$ 13,608,795	\$ 5,076,043	\$ 17,461,565	\$ 6,233,589	\$ 4,937,682	\$ 1,894,190	\$ 6,720,047	\$ 1,285,181	\$ 4,672,548	\$ 479,189	\$ 60,748,948

Attachment Figure 1

Fiscal Year Systemwide Actual Transactions Per Week



Source: Maryland Transportation Authority E-ZPass Operations, Daily Transactions



TRANSACTIONS PER WEEK BY FISCAL YEAR TOTAL SYSTEMWIDE

FIGURE 1

TAB 6



Maryland Transportation Authority

Wes Moore, Governor
Aruna Miller, Lt. Governor
Paul J. Wiedefeld, Chairman

Board Members:

Dontae Carroll	Cynthia D. Penny-Ardinger
William H. Cox, Jr.	Jeffrey S. Rosen
W. Lee Gaines, Jr.	Samuel D. Snead, MCP, MA
Mario J. Gangemi, P.E.	John F. von Paris

Joseph G. Sagal, Executive Director

MEMORANDUM

TO: MDTA Board
FROM: Chief Engineer James Harkness, P.E., PTOE
SUBJECT: Quarterly Update on Major Capital Projects valued over \$40 Million
DATE: September 28, 2023

PURPOSE OF MEMORANDUM

The purpose of the memorandum is to update the MDTA Board on the status of the major projects in the Capital Program.

SUMMARY

As of September 13, 2023, there are twelve major projects in the Capital Program. Eight of the projects are under construction, two are in procurement, and two are under design. This update includes projects funded for construction in the current Consolidated Transportation Program and includes six projects valued in excess of \$100 million. There are four projects from the \$1.1 billion I-95 ETL Northbound Expansion program.

ATTACHMENT

- MDTA Major Capital Projects (>\$40 million) – September 2023 Update

MDTA Major Capital Projects (>\$40 Million) - September 2023 Update

Pin	Contract No.	Description	AD	NTP	Budget (Thousands \$)	Ant. Construction Completion Date	Construction (C), Procurement (P) or Design (D)
2147	MA-2257	Replace Electronic Toll Collection - 3rd Gen	8/1/16	3/6/18	123,009	Summer 2025	C
1024	NB-0543	Replace Nice/Middleton Bridge	10/20/18	3/17/20	610,787	Winter 2025	C
2251	FT-2651R	Rehabilitate Ventilation Fans	9/5/19	7/1/20	44,695	Spring 2024	C
2453	KH-3009	I-95 ETL Northbound Extension - Express Toll Lanes to MD 152	8/17/20	5/3/21	224,455	Winter 2025	C
2491	KH-3019	I-95 ETL Northbound Extension - MD 152 Interchange Reconstruction	5/7/21	2/28/22	245,499	Winter 2025	C
2477	KH-3024	I-95/Belvidere Road Interchange	5/18/20	2/25/22	81,529	Summer 2026	C
2317	BB-2726 BB-3017 BB-3018	Eastbound Bay Bridge Deck Replacment - Phase 1	9/6/19	1/9/23	238,949	Spring 2026	C
2306	HT-2709	Envelope and Switchgear Replacements at BHT Ventilation Buildings	1/10/22	2/20/23	80,675	Winter 2027	C
2450	KB-3005	I-695 Subgrade Improvements at Bear Creek	6/6/23	12/1/23	48,791	Summer 2025	P
2512	HB-3001	Cleaning and Painting of the Hatem Bridge	8/3/23	2/22/23	65,915	Summer 2026	P
2492	KH-3020	I-95 ETL Northbound Extension - MD 24 to Bynum Run	1/10/24	6/3/24	66,000	Winter 2027	D
2493	KH-3021	I-95 ETL Northbound Extension - MD 24 Interchange	3/25/24	10/15/24	250,000	Winter 2028	D

TAB 7



MEMORANDUM

TO: MDTA Board
FROM: Director of Information Technology David Goldsborough
SUBJECT: Quarterly Information Technology Update
DATE: September 28, 2023

PURPOSE OF MEMORANDUM

The purpose of this memorandum is to provide a quarterly update to the MDTA Board on MDTA's Division of Information Technology (DoIT).

SUMMARY

As of September 28, 2023, DoIT maintains an active portfolio of 21 projects and continued support of the third-generation tolling system (3G) program. One new project for Health and Safety was added to the portfolio and will be added to the update chart below. Currently DoIT is still assisting with creating business intelligence reports to support QA functions for the KPI portion of the contract. The IT Risk Assessment has been completed and presented to the Audit Committee on September 12, 2023. This is not an audit so management responses will not be required. The risk assessment sets the roadmap for future potential audit areas based on risk scores.

Some of the significant initiatives that DoIT continues to work on include the following:

Project Name	Brief Description	Go-live	Status
HR Central Conversion	Moving HRC to the new Salesforce lightning platform	1/31/2024	Green
Web-Based Appointment Scheduler	Provides the ability for customers to schedule appointments online for EZ Pass or general tolling issues.	9/1/2023	Green
Miss Utility Conversion	Scheduling system for having utilities marked.	10/1/2023	Green
Maximo Spatial	Implementation of Maximo spatial for asset management	6/30/2023	Green
Open Text Upgrade	Upgrade from version 2016 to 2021	10/30/2023	Green

Dynamics SL Upgrade	Upgrade from version 2015 to 2018	12/31/2023	Green
HRIS	Moving the HRIS system to the cloud	TBD	Kick-off delayed.
Data Center Enhancements Project	Replace power and cooling units in the ICC and JFK data centers.	Q1 2024	PORFP has been presented to PRG.
Clearing House Tracking for Health and Safety (OESRM)	Tracking of driver information for MDTA drivers that hold a CDL.	TBD	Planning

TAB 8



Maryland Transportation Authority

Wes Moore, Governor
Aruna Miller, Lt. Governor
Paul J. Wiedefeld, Chairman

Board Members:

Dontae Carroll	Cynthia D. Penny-Ardinger
William H. Cox, Jr.	Jeffrey S. Rosen
W. Lee Gaines, Jr.	Samuel D. Snead, MCP, MA
Mario J. Gangemi, P.E.	John F. von Paris

Joseph G. Sagal, Executive Director

MEMORANDUM

TO: MDTA Board
FROM: Chief Administrative Officer Percy E. Dangerfield
Director of Civil Rights and Fair Practices Tia Rattini
PREPARED BY: Compliance Officer Nicholas Lloyd
SUBJECT: Quarterly Update: Socioeconomic Programs Status
DATE: September 28, 2023

PURPOSE OF MEMORANDUM

The purpose of this memorandum is to provide the Maryland Transportation Authority (MDTA) Board with an update on the MDTA's progress toward achieving the legislatively mandated socioeconomic program goals.

SUMMARY

In accordance with Article II of the MDTA Board Operating Policy, as amended on January 25, 2018, this summary has been prepared and distributed. The Division of Civil Rights and Fair Practices (CRFP) is responsible for the development, oversight, and administration of the MDTA's State and Federal socioeconomic programs. These programs include the following:

- Disadvantaged Business Enterprise (DBE) Program;
- Minority Business Enterprise (MBE) Program;
- Small Business Reserve (SBR) Program; and
- Veteran-Owned Small Business Enterprise (VSBE) Program.

The following socioeconomic programs' performance information presented is based on Quarter 4 of 2023, which covers the performance period of July 1, 2022 to June 30, 2023¹. This performance period will be referenced as Q4 FY 2023.

¹ The achieved goals reported for the MBE, SBR, and VSBE programs in this document are specific to contract awards and payments. This data does not include Purchasing Credit Card (P-Card) information and may vary from data submitted for the Governor's Office of Small Minority and Women Business Affairs (GOSBA) quarterly reporting.

Disadvantaged Business Enterprise (DBE) Program

CRFP is responsible for monitoring the Nice-Middleton Bridge Project's federally funded contracts to ensure compliance with nondiscrimination and affirmative action requirements. The responsibilities include oversight of the Disadvantage Business Enterprise (DBE) Program, the Contractor's Equal Employment Opportunity (EEO)/Workforce Affirmative Action plans, On-the-Job Training (OJT) programs, and Contractor Labor Compliance. The unit also provides management, supervision, and direction to the third-party civil rights compliance monitors assigned to the Project by the General Engineering Consultant for the Nice-Middleton Bridge Replacement Project.

The Nice-Middleton Bridge Project will construct a new 4-lane crossing of US-301 over the Potomac River to replace the existing bridge, which is over 80 years old. The Project also includes minor roadway work on each side of the Potomac River, improvements to the campus infrastructure, and demolition of the existing bridge. The Nice-Middleton Bridge Project is comprised of five (5) contracts that have an estimated value of \$531 million.

The five (5) contracts that are included in Nice-Middleton Bridge Project were identified for federal financial assistance via a Transportation Infrastructure Finance and Innovation Act (TIFIA) loan. DBE firms' utilization is monitored monthly and reported to the Federal Highway Administration (FHWA) semi-annually. DBE firms' utilization is calculated by dividing the total dollars paid to DBE subcontractors by the total dollars expended for this Project.

Table 1 depicts total DBE payments by Contract for the Nice-Middleton Bridge Projects and the newly awarded I-95 Interchange at Belvidere Road through June 30, 2023. Each Contract has a contract-specific DBE goal commitment. Table 1 depicts each Contract's DBE utilization in payments project-to-date.

Minority Business Enterprise (MBE) Program

CRFP's Compliance Officers are responsible for monitoring State-funded projects to ensure compliance with Maryland's Minority Business Enterprise (MBE) Program. The MBE Program intends to increase procurement opportunities for socially and economically disadvantaged small business owners within the State contracting marketplace. Maryland's MBE Program requires State agencies to structure their procurements in a manner that allows 29 percent of the agency's total annual expenditures on procurement contracts to be paid directly or indirectly to certified MBE firms as prime contractors or subcontractors.

Table 2 depicts total MBE participation by Contracting Areas for Q4 FY 2023. During this period, MBE firms received \$59.3 million (19.72%) of the \$300.7 million in total contract awards. These amounts represent contract awards in six (6) Procurement Categories: Construction; Architectural & Engineering (A&E); Maintenance; Information Technology (IT); Services; and Supplies/Equipment.

Table 3 depicts MBE awards by Minority Business Enterprise (MBE) classification and procurement category for Q4 FY 2023. During this period, African American firms received approximately \$16.5 million (5.50%) of the \$300.7 million of Prime contract awards this period. Hispanic American firms received over \$2.3 million (0.78%), Asian American firms received \$8.5 million (2.85%), Women-owned firms received \$31.8 million (10.58%), Native American firms received \$4.1 thousand (0.001%), and Disabled firms received over \$36.2 thousand (0.01%). MBE participation for Q4 FY 2023 is calculated by dividing the total MBE contract award dollars by the total contract award dollars.

Small Business Reserve (SBR) Program

The Small Business Reserve (SBR) Program is administered by the Division of Procurement. CRFP is responsible for reviewing and analyzing State-funded procurements to ensure compliance with Maryland's SBR Program. Maryland's SBR Program provides small businesses with the opportunity to participate as prime contractors on State-funded contracts by establishing a unique marketplace where small businesses compete against other small businesses instead of larger, more established companies. The SBR program is a race- and gender-neutral program. Minority status is not a criterion for participation in the SBR Program. (See Md. Code Ann., State Fin. & Proc. Art. §14-501.)

On January 6, 2021, Governor Hogan signed Executive Order 01.01.2021.01 to increase small business participation in state procurements. The Executive Order enhances the SBR Program by directing all procurements between \$50,000 and \$500,000 to the SBR Program unless the procurement meets defined exemptions. The State has established a 15% SBR Utilization goal.

The SBR firms' utilization for Q4 FY 2023 is calculated by dividing the total SBR designated payments by the total procurement payments. An SBR-designated payment is a payment made to a vendor for an SBR-designated procurement.

Table 4 depicts Small Business Reserve Program utilization for Q4 FY 2023. SBR-designated firms received \$2.7 million (0.54%) of the \$509.4 million in procurement payments during this period. Non SBR-Designated payments for this quarter were \$12.6 million (2.49%). MDTA's SBR utilization was \$15.4 million (3.03%) for all SBR payments (designated and non-designated).

Veteran-Owned Small Business Enterprise (VSBE) Program

CRFP's Compliance Officers are responsible for monitoring State-funded projects to ensure compliance with Maryland's Veteran-Owned Small Business Enterprise (VSBE) Program. The VSBE Program provides contracting opportunities on State-funded procurements for qualified veteran-owned small businesses. In accordance with COMAR 21.11.03.01, each State procurement agency is required to structure its procedures for making procurements to achieve an overall minimum goal of one (1) percent of the unit's total dollar value of all procurement contracts made directly or indirectly with veteran-owned small business enterprises.

Table 5 depicts VSBE contract participation by contracting area for Q4 FY 2023. During this period, VSBE firms received \$2.9 million (0.98%) of the \$300.7 million in contracts awarded by the MDTA during this period. VSBE participation for Q4 FY 2023 is calculated by dividing the total contract award dollars to VSBE firms by all contract awards.

Major Initiatives

CRFP has enacted the following strategies focused on outreach, training, and data analysis to achieve its mission of championing socioeconomic programs:

- *PRISM Contract Compliance System:* PRISM is a contract compliance system that supports payment and contract compliance, performance management functionality, and compliance workflow automation for the whole performance management contracting cycle from pre-solicitation to contract closeout. PRISM includes the following capabilities: vendor registration, vendor management, contract management, labor management, outreach management, and dashboard and reporting. The following is an update on the status of PRISM implementation:
 - Production testing completed.
 - Custom reports in development.
 - Vendor training for federal Prime contractors took place in June and July 2023.
 - Federal Prime contractors will receive sign-on information and additional documents in September 2023.
 - DBE subcontractors to receive sign-on information and training documents by October 2023.
 - Federal contracts reporting to PRISM will start in October 2023.
 - Training of State contracts Prime and subcontractors and Compliance Officers will begin in November 2023.
 - State contracts Prime and subcontractors will start receiving training, sign-on information, and additional documents in December 2023.
 - Prime contractors and MBE/DBE/VSBE subcontractor reporting to PRISM starting in January 2024.
- *In-person Outreach Events:* On March 8, 2023, June 14, 2023, and September 13, 2023 CRFP completed in-person events titled “Doing Business with the MDTA,” attended by a combined total of 212 members of the business community. Small and minority businesses were invited to attend a free 4-hour workshop to network with prime contractors, learn about upcoming procurement opportunities and resources to grow their businesses, MBE, DBE, and VSBE program requirements, and their role as prime contractors or subcontractors. Additional in-person events have been planned for March 21, 2024, June 20, 2024, and September 19, 2024.
- *Training with the Office of Engineering and Construction (OEC):* CRFP has coordinated with the OEC to host training sessions for MDTA Project Managers and Project Engineers. These training sessions are designed to foster relationships between the CRFP and OEC teams and increase Project Managers’ and Project Engineers’ awareness and understanding of the MBE, DBE, and VSBE programs and regulatory requirements.

Training sessions with Project Managers and Contract Managers in the Construction Unit were completed in December 2022, March 2023, and June 2023.

- During the June 2, 2023 training session, over forty (40) staff were in attendance. The training included information about the importance of accounting for MBE, VSBE, DBE goals and subgoals when a contract's value is increased by way of an Extra Work Authorizations (EWA) or Supplemental Agreement (SA).
 - CRFP will be scheduling training with Project Managers on IT, Service, and Maintenance contracts in December.
- *Credit card purchases made with MBE, DBE, VSBE, and SBR vendors:* CRFP is working with the Purchasing Card Program Administrator and the IT Solutions Administrator to develop a way to automatically identify and track credit card transactions made with MBE, DBE, VSBE, and SBR vendors in the purchasing card system. This option would make compiling and reporting this data more manageable and efficient. This functionality within the purchasing card system would also help CRFP to identify the frequency with which cardholders make purchases using MBE, DBE, VSBE, and SBR vendors – thereby uncovering opportunities for additional training or recognition. CRFP is also working with the DOP to update the “Strive for Five” form to include a reference to MBE and VSBE vendors.
 - CRFP has had several meetings with Finance, Procurement, and IT to discuss implementing an interface to the P-Card system from MDOT's MBE Directory and eMaryland Marketplace Advantage (eMMA) to enable our P-Card system to identify MBEs/VSBE that are utilized on credit card purchases. The P-Card form (Strive for Five) is being updated to remind P-Card users to always look for DBEs/MBEs/VSBEs/SBRs that can be utilized when making purchases. We have also met with the Division of Communications (DOC) to have our Small Procurement Training Sessions recorded to have available for MDTA Staff.
 - CRFP and IT had a Project initiation meeting on August 23rd to discuss the interface between eMaryland Marketplace Advantage (eMMA) and MDTA's Maximo System to identify MBE/VSBE/SBR firms on credit card purchases.
- *Identifying additional opportunities for MBE/DBE/VSBE participation:* Evaluating the extra work authorization (EWA) and supplemental agreement (SA) process to ensure maximization of goal attainment is considered with all additional work. We are working internally with our OEC to identify these opportunities. In addition, we are reviewing the feasibility of expanding third tier subcontracting more broadly and reducing the standard requirement that Primes self-perform 50% of the contract. Lastly, reviewing our task order/open end contracts to see if we can repackage tasks into contracts with more defined items of work that have greater likelihood of achieving the contract goals.
- *Outstanding MBE/DBE/VSBE Subcontracting Partner Award:* The MDTA is developing the Outstanding Subcontracting Partner award as an annual recognition of completed projects with subcontracting goals (or subgoals, if applicable) that achieve the highest MBE, DBE, or VSBE participation. The main purpose of this award is to celebrate success, share lessons learned of best practices, and honor all project stakeholders. This award is for the successful use of certified MBE, DBE, or VSBE

firms on an MDTA construction, architectural/engineering, information technology, or service/maintenance project.

ATTACHMENTS

- Table 1: Nice-Middleton Bridge Project and I-95 Interchange at Belvidere Road Project Disadvantaged Business Enterprise (DBE) Program Utilization
- Table 2: Minority Business Enterprise (MBE) Program Contract Awards by Procurement Category
- Table 3: Minority Business Enterprise (MBE) Program Contract Awards by MBE Classification and Procurement Category
- Table 4: Small Business Reserve (SBR) Program Utilization
- Table 5: Veteran-Owned Small Business Enterprise (VSBE) Contract Awards by Procurement Category
- Table 6: Corrective Strategies

ATTACHMENTS

QUARTERLY UPDATE: SOCIOECONOMIC PROGRAMS STATUS

**Table 1: Nice-Middleton Bridge Project and I-95 Interchange at Belvidere Road Project
Disadvantaged Business Enterprise (DBE) Program Utilization**

Disadvantage Business Enterprise Program Utilization Project-To-Date (Period Ending June 30, 2023)					
Contract Number & Contract Value	Contract Description	Committed DBE Goal (%)	Total Payments (\$) to Primes	Total (%) Payments Paid to DBEs	Total Payments to DBEs
KH-3024-0000 NTP 02/25/22 \$65,437,722.28	I-95 Interchange at Belvidere Road	23.00%	\$8,975,625.13	8.71%	\$782,083.13
NB-0543-0000 NTP 03/17/20 \$462,957,000.00	Bridge Replacement	16.75%	\$427,792,023.84	18.49%	\$79,092,637.72
NB-3003-0000 NTP 08/28/20 \$27,433,000.00	Campus Improvements	23.75%	\$21,986,137.03	24.02%	\$5,280,908.04
AE-3049-0000 NTP 11/14/18 \$15,000,000.00	Construction Management Inspection Services	26.00%	\$8,671,516.77	27.50%	\$2,385,075.10
AE-3050-0000 NTP 11/14/18 \$15,000,000.00	Construction Management Inspection Services	26.00%	\$8,234,344.21	22.32%	\$1,837,918.74
AE-3051-0000 NTP 11/14/18 \$15,000,000.00	Construction Management Inspection Services	26.00%	\$6,900,961.09	19.55%	\$1,349,335.20

Table 2: Minority Business Enterprise (MBE) Program Contract Awards by Procurement Category

Minority Business Enterprise (MBE) Program Contract Awards by Procurement Category FY2023 Quarter 4 Report			
State MBE Program Participation Goal	29%		
MDTA-MBE Program Contract Utilization	19.72%		
Procurement Category	MBE %	MBE Subcontract Awards	Prime Contract Awards
Construction	19.71%	\$20,650,065.65	\$104,782,580.20
A&E	24.15%	\$22,940,000.00	\$95,000,000.00
Maintenance	57.49%	\$2,397,006.00	\$4,169,104.18
IT	13.90%	\$10,781,990.48	\$77,590,963.80
Services	13.93%	\$2,543,119.80	\$18,252,181.00
Supplies/Equipment	0.00%	\$0.00	\$934,881.60
Total	19.72%	\$59,312,181.93	\$300,729,710.78

Table 3: Minority Business Enterprise (MBE) Program Contract Awards by MBE Classification and Procurement Category

Minority Business Enterprise (MBE) Program Contract Awards by Minority Business Enterprise (MBE) Classification and Procurement Category FY2023 Quarter 4 Report													
Procurement Category	African American (%)	African American (\$)	Hispanic American (%)	Hispanic American (\$)	Asian American (%)	Asian American (\$)	Women (%)	Women (\$)	Native American (%)	Native American (\$)	Disabled (%)	Disabled (\$)	Total Award (\$)
Construction	5.02%	\$5,255,795.54	1.66%	\$1,736,896	3.16%	\$3,312,478.05	9.87%	\$10,340,727	0.004%	\$4,169	0.00%	\$0.00	\$20,650,065.65
A&E	8.15%	\$7,739,050.00	0.63%	\$602,800	4.88%	\$4,635,700	10.45%	\$9,926,200	0.00%	\$0.00	0.04%	\$36,250.00	\$22,940,000.00
Maintenance	57.49%	\$2,397,006.00	0.00%	\$0.00	0.00%	\$0.00	0.00%	\$0.00	0.00%	\$0.00	0.00%	\$0.00	\$2,397,006.00
IT	0.11%	\$82,306.56	0.00%	\$0.00	0.48%	\$375,549.99	13.31%	\$10,324,134	0.00%	\$0.00	0.00%	\$0.00	\$10,781,990.48
Services	5.81%	\$1,059,809.90	0.00%	\$0.00	0.00%	\$247,000.00	6.77%	\$1,236,309.90	0.00%	\$0.00	0.00%	\$0.00	\$2,543,119.80
Supplies/Equipment	0.00%	\$0.00	0.00%	\$0.00	0.00%	\$0.00	0.00%	\$0.00	0.00%	\$0.00	0.00%	\$0.00	\$0.00
Total Participation	5.50%	\$16,533,968	0.78%	\$2,339,696	2.85%	\$8,570,728	10.58%	\$31,827,371	0.001%	\$4,169	0.01%	\$36,250	\$59,312,182

Table 4: Small Business Reserve (SBR) Program Utilization

Small Business Reserve (SBR) Program Utilization FY2023 Quarter 4				
SBR State Participation Goal	15%			
MDTA SBR Utilization	3.03%			
SBR Designated Payments \$	SBR Designated Payments %	SBR Non-Designated Payments \$	SBR Non-Designated Payments %	Total Procurement Payments (\$)
\$2,744,610	0.54%	\$12,691,301	2.49%	\$509,486,763
Total SBR Payments \$				
\$15,435,911				

Table 5: Veteran-Owned Small Business Enterprise (VSBE) Contract Awards by Procurement Category

Veteran-Owned Small Business Enterprise (VSBE) Program Contract Awards FY2023 Quarter 4			
VSBE Participation Goal	1.00%		
MDTA - VSBE Contract Awards	0.98%		
Procurement Category	VSBE %	VSBE Awards \$	Total Awards \$
Construction	1.07%	\$1,119,784.21	\$104,782,580.20
A&E	1.03%	\$980,000.00	\$95,000,000.00
Maintenance	0.00%	\$0.00	\$4,169,104.18
IT	0.94%	\$727,480.45	\$77,590,963.80
Services	0.70%	\$127,980.99	\$18,252,181.00
Supplies/Equipment	0.00%	\$0.00	\$934,881.60
Total	0.98%	\$2,955,246	\$300,729,710.78

Table 6: Corrective Strategies

Corrective Strategies for Meeting Goals				
Goal Category	Goal Percentage	Reason for Not Meeting Goal	Strategy to Address Issue	Positive Outcome of Implementing Strategy
DBE A/E Contracts (AE-3050 and AE-3051)	26%	Prime had challenges with identifying willing and available staff because of project location.	Working with OEC to see if other MDTA work can be completed using these contracts.	Increase goal attainment on contract.
MBE Awards	29%	Sole source and single element of work contracts with no MBE goal. On-call and specialized requirements	Educating/Working closely with OEC to look at all possible subcontracting opportunities. Working with DBEs/MBEs/VSBEs to become Certified/Registered on eMMA/Keep profile updated/ expansion of services.	Better able to identify subcontracting opportunities which would increase the goal. On-going monitoring to determine impact to overall goal. Increased knowledge of program participation and availability.
MBE Attainment	29%	On-call and specialized requirements. Extra Work and Supplemental Agreements. iFMIS Compliance System	CRFP training Project Managers and working closely with OEC to increase awareness of program requirements and communications with Primes related to goal requirements Implementing PRISM Compliance Software System to replace iFMIS for tracking compliance. Cross-training compliance team.	On-going monitoring to determine impact to overall goal. Real-time compliance reporting and increased efficiency.

Goal Category	Goal Percentage	Reason for Not Meeting Goal	Strategy to Address Issue	Positive Outcome of Implementing Strategy
SBR	15%	<p>Outreach efforts.</p> <p>Current iFMIS database doesn't track SBR information</p> <p>eMMA SBR profiles are missing pertinent information (i.e., email addresses)</p> <p>SBR's respond that they are interested in bidding and meet the qualifications to be a Prime, but they don't bid.</p>	<p>Implementing new Compliance System – PRISM.</p> <p>CRFP Quarterly Outreach events – inform vendors on programs, requirements, register on eMMA and keep profiles updated to increase the pool of potential SBR Primes</p>	<p>Ongoing tracking to determine increase in SBR bidders and SBR awards.</p>

TAB 9

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TAB 10

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